

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Laurent Breuil et al.

Appln. No.: Not yet assigned

Filed: Herewith

For: NONVOLATILE MEMORY

ELEMENT AND ASSOCIATED PRODUCTION METHODS AND

MEMORY ELEMENT ARRANGEMENTS

Attorney Docket No: 10808/197

Examiner: Not yet assigned

Art Unit: N/A

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee			
6,348,365 B1	February 19, 2002	Moore et al.			
US 2001/0049189 A1	December 6, 2001	Zahorik			
6,294,452 B1	September 25 2001	Doan et al.			
6,287,919 B1	September 11, 2001	Zahorik			
6,287,887 B1	September 11, 2001	Gilgen			
US 2001/0002046 A1	May 31, 2001	Reinberg et al.			
5,973,335	October 26, 1999	Shannon			
5,789,277	April 4, 1998	Zahorik et al.			
5,923,637	July 13, 1997	Shimada et al.			
FOREIGN PATENT DOCUMENTS					
DOCUMENT DATE COUNTRY					
NUMBER					
GB 1,083,154	September 13, 1997	Great Britain			
WO 90/13921	November 15, 1990	WO			
WO 90/00817	January 25, 1990	WO			
DE 1 465 450	July 23, 1970	Germany			
OTHER ART – NON PATENT LITERATURE DOCUMENTS					
Copy of International Search Report from PCT patent application number					
PCT/DE03/02434.					
Copy of Examination Report from PCT patent application number PCT/DE03/02434.					

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's



consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted

Anthony P. Curtis, Ph.D.

(Reg. No. 46/193)

FORM PTO-1449	SERIAL NO.	CASE NO.
	Not yet assigned	10808/197
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE Herewith	GROUP ART UNIT Not yet assigned
(use several sheets if necessary)	APPLICANT(S): Laurent Breuil	et al.

REFERENCE DESIGNATION	U.S. PATENT DOCUMENTS
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EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,348,365 B1	February 19, 2002	Moore et al.		
	A2	US 2001/0049189 A1	December 6, 2001	Zahorik		
	A3	6,294,452 B1	September 25 2001	Doan et al.		
	A4	6,287,919 B1	September 11, 2001	Zahorik		
<u> </u>	A5	6,287,887 B1	September 11, 2001	Gilgen		
	A6	US 2001/0002046 A1	May 31, 2001	Reinberg et al.		
	A7	5,973,335	October 26, 1999	Shannon		
	A8	5,789,277	April 4, 1998	Zahorik et al.		
-	A9	5,923,637	July 13, 1997	Shimada et al.		
					 	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
A10	GB 1,083,154	September 13, 1997	Great Britain		
A11	WO 90/13921	November 15, 1990	WO		
A12	WO 90/00817	January 25, 1990	WO _		
A13	DE 1 465 450	July 23, 1970	Germany		
	A11 A12	NUMBER Number-Kind Code (if known) A10 GB 1,083,154 A11 WO 90/13921 A12 WO 90/00817	NUMBER Number-Kind Code (if known) DATE A10 GB 1,083,154 September 13, 1997 A11 WO 90/13921 November 15, 1990 A12 WO 90/00817 January 25, 1990	NUMBER Number-Kind Code (if known) DATE COUNTRY A10 GB 1,083,154 September 13, 1997 Great Britain A11 WO 90/13921 November 15, 1990 WO A12 WO 90/00817 January 25, 1990 WO	NUMBER Number-Kind Code (if known) DATE COUNTRY SUBCLASS A10 GB 1,083,154 September 13, 1997 Great Britain A11 WO 90/13921 November 15, 1990 WO A12 WO 90/00817 January 25, 1990 WO

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.		
	A14	Copy of International Search Report from PCT patent application number PCT/DE03/02434.	
-	A15	Copy of Examination Report from PCT patent application number PCT/DE03/02434.	
	-		
	 		

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.